

The Haemers Bound of Noncommutative Graphs

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January 10, 2020

QIP 2020, Shenzhen. Preprint in preparation.



Centrum Wiskunde & Informatica



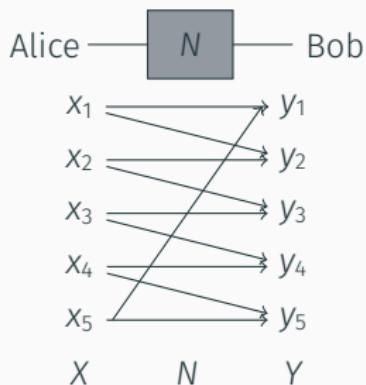
What is Haemers bound?

What is a noncommutative graph?

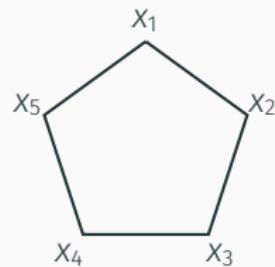
Why should we care about it?

Zero-error Communication through Classical Channels

Classical communication



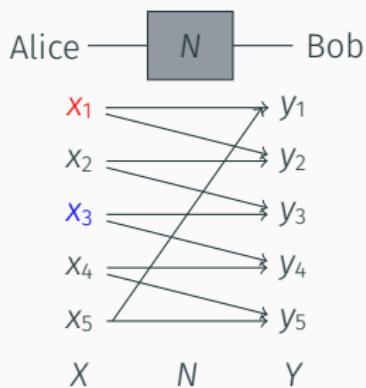
Confusability graph



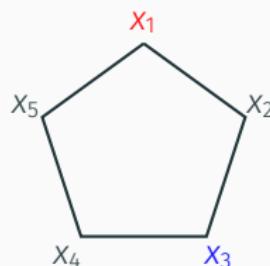
$$x_i \sim x_j \text{ if } \exists y \in Y, \text{ s.t. } N(y|x_i)N(y|x_j) > 0.$$

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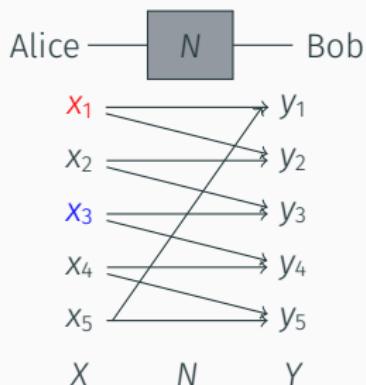


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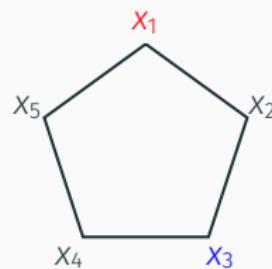
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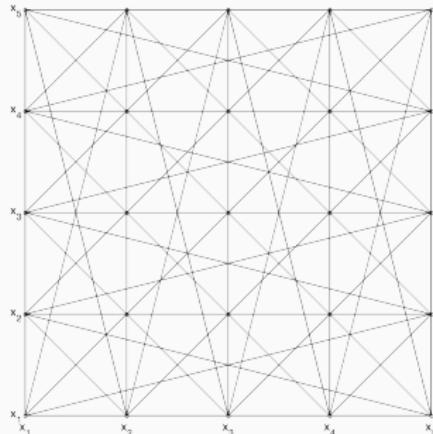
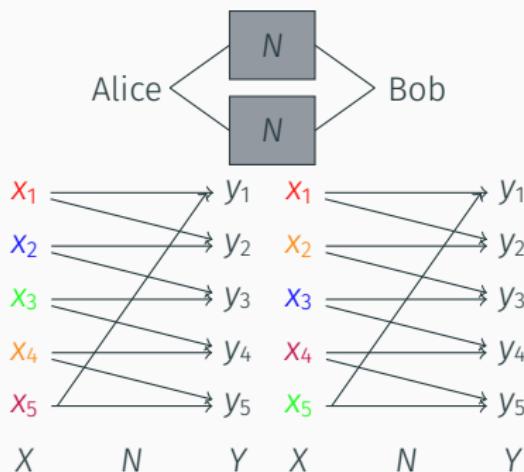
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- Maximum # zero-error messages send through N : $\alpha(G_N)$

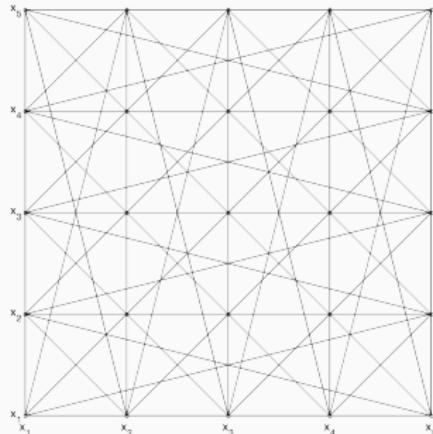
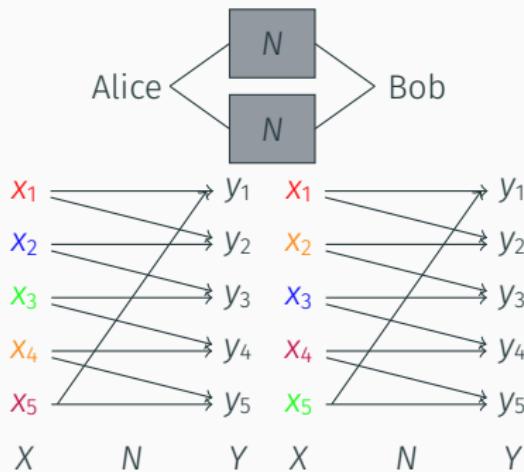
Zero-error Communication through Classical Channels



- $\{(g, h), (g', h')\} \in E(G \boxtimes H)$ if
 - $g = g'$, $\{h, h'\} \in E(H)$ or
 - $\{g, g'\} \in E(G)$, $h = h'$ or
 - $\{g, g'\} \in E(G)$, $\{h, h'\} \in E(H)$

- Zero-error encoding of $N \Leftrightarrow$ Independent set of G_N
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- Block-code of length k through $N \Leftrightarrow$ confusability graph $G_N^{\boxtimes k}$

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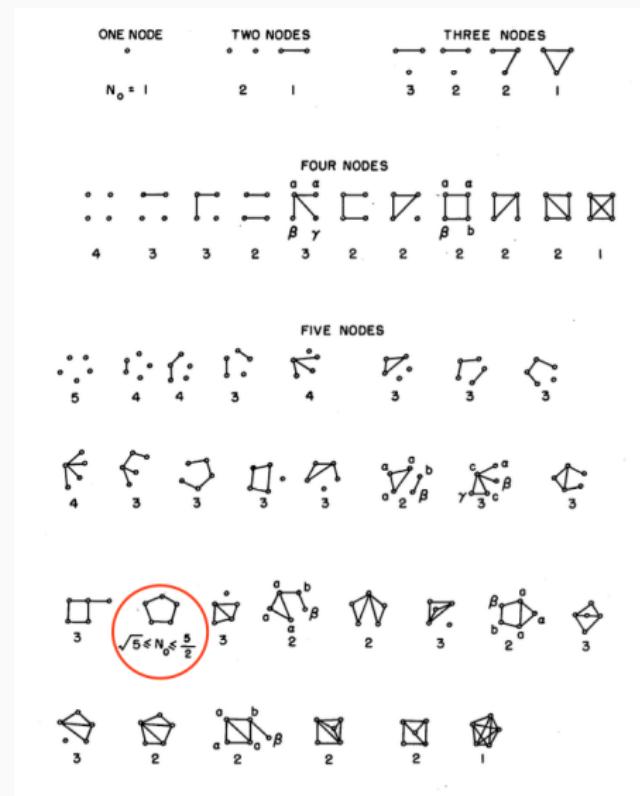


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- Shannon capacity (Shannon, 1956) of G_N (N):

$$\Theta(G_N) := \sup_k \sqrt[k]{\alpha(G_N^{\boxtimes k})} = \lim_{k \rightarrow \infty} \sqrt[k]{\alpha(G_N^{\boxtimes k})}$$

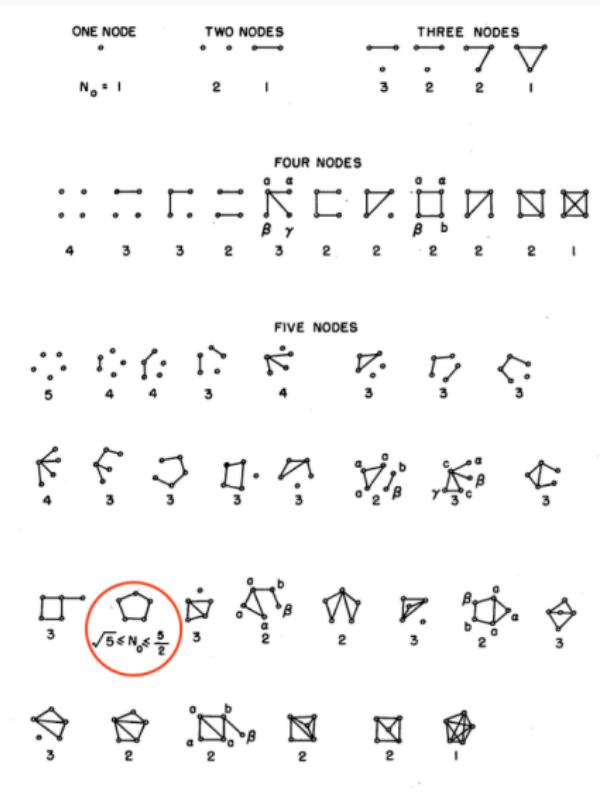


Compute the Shannon Capacity



(Shannon, 1956)

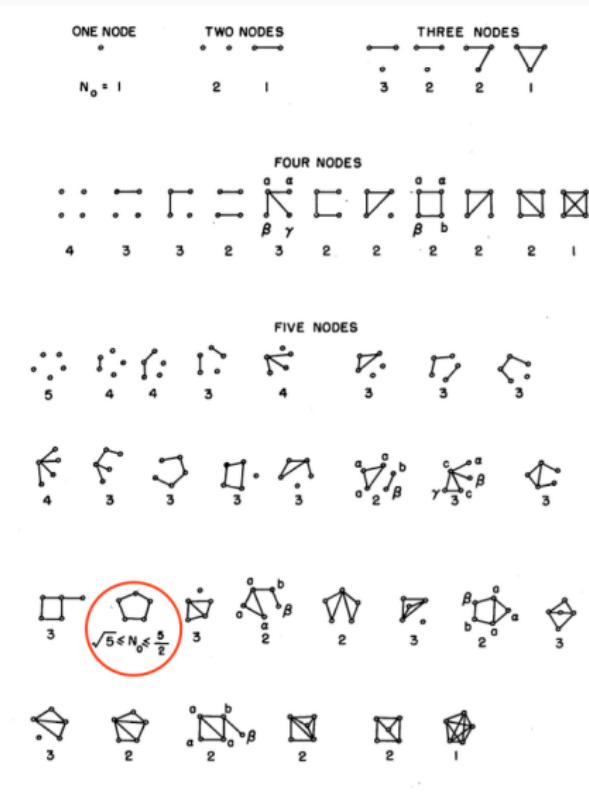
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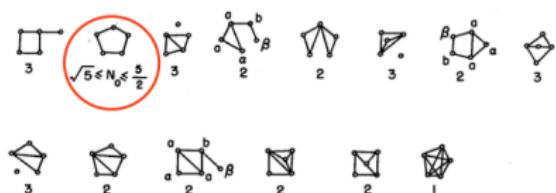
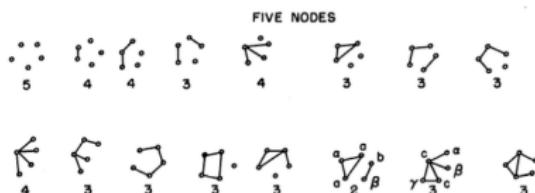
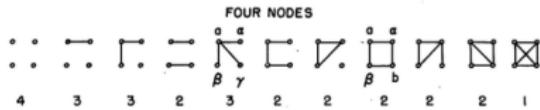
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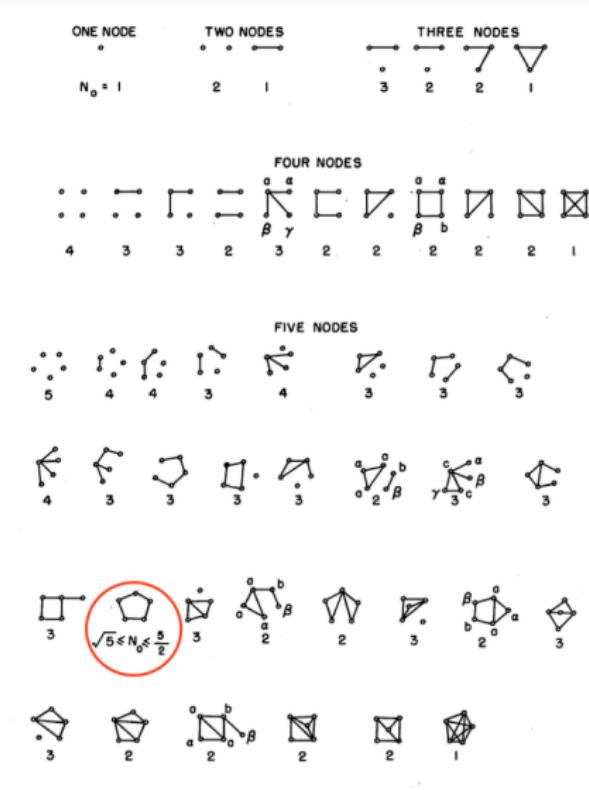
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- $\exists G$ s.t. $\Theta(G) > \sqrt[k_0]{\alpha(G^{\otimes k_0})}$ for any $k_0 \in \mathbb{N}$ (Guo-Watanabe, 1990).

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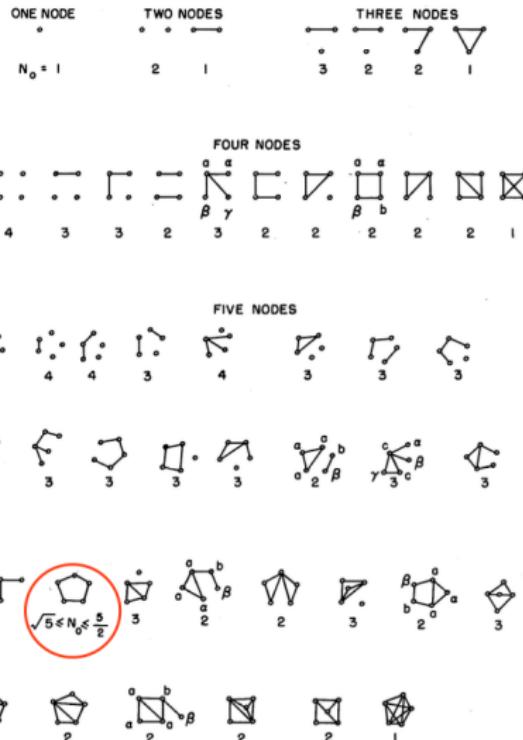
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- **Lower bounds:** By construction.
 $\sqrt[5]{367} \leq \Theta(C_7)$ (Polak-Schrijver, 2019)

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- Lower bounds:** By construction. $\sqrt[5]{367} \leq \Theta(C_7)$ (Polak-Schrijver, 2019)
- Upper bounds:** Submultiplicative upper bounds on $\alpha(G)$.
 $(f(G \boxtimes H) \leq f(G)f(H))$

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Lovász Theta Function (Lovász, submitted in Feb. 1978)

Orthogonal representation of $G = ([n], E)$:

$\Psi : [n] \rightarrow \mathbb{C}^d$, $\Psi(i) \mapsto |\psi_i\rangle$ s.t. $\langle \psi_i | \psi_j \rangle = 0$ if $\{i, j\} \notin E$.



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$$\begin{aligned}\vartheta(G) &= \min_{\text{O.R. } \Psi} \min_{|\varphi\rangle \in \mathbb{C}^d} \max_{i \in [n]} |\langle \varphi | \psi_i \rangle|^{-2} \\ &= \max\{\|I + T\| : T_{i,j} = 0 \text{ if } \{i, j\} \in E \text{ or } i = j, I + T \geq 0\}\end{aligned}$$



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$$\cdot \sqrt{5} = \sqrt{\alpha(C_5^{\boxtimes 2})} \leq \Theta(C_5) \leq \vartheta(C_5) = \sqrt{5}.$$

• $\Theta(G) = \vartheta(G)$ if G is a perfect graph.

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- $\Theta(G) = \vartheta(G)$ if G is a perfect graph.
- Problem: Is $\Theta(G) = \vartheta(G)$ for any G ?

The Haemers Bound (Haemers, submitted in Apr. 1978)

A matrix $A \in M_n(\mathbb{C})$ **fits** the graph $G = ([n], E)$:
 $A_{i,i} = 1$ for $i \in [n]$ and $A_{i,j} = 0$ if $\{i, j\} \notin E$.

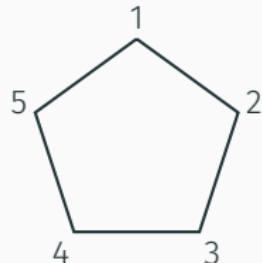


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$$\alpha(G) \leq \text{rank}(A), \alpha(G^{\otimes k}) \leq \text{rank}(A^{\otimes k}) = \text{rank}(A)^k$$



$$G = C_5$$

$$A = \begin{bmatrix} 1 & 5 & 0 & 0 & 2.4 \\ 0 & 1 & 1.7 + 3i & 0 & 0 \\ 0 & \pi & 1 & 0 & 0 \\ 0 & 0 & 1.1 + 2.2i & 1 & 20 \\ 7 & 0 & 0 & \pi/5 & 1 \end{bmatrix}$$



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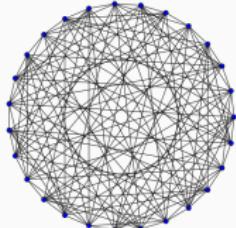
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$$\cdot \quad \mathcal{H}(G) < \vartheta(G) \Rightarrow \Theta(G) \neq \vartheta(G), \text{ further } \Theta(G)\Theta(\bar{G}) < \Theta(G \boxtimes \bar{G}).$$

The complement
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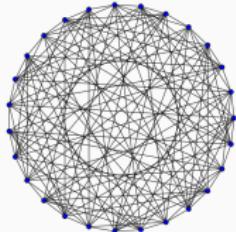
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- $\mathcal{H}(G) < \vartheta(G) \Rightarrow \Theta(G) \neq \vartheta(G)$, further $\Theta(G)\Theta(\bar{G}) < \Theta(G \boxtimes \bar{G})$.
- Also satisfy $\Theta(G) + \Theta(\bar{G}) < \Theta(G \sqcup \bar{G})$. (Alon, 1998)
- $\mathcal{H}(G)$ can be defined over any field. Used to separate Shannon capacity and entangled Shannon capacity. (LMMOR, 2012, BBG, 2013)

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Zero-error communication in a quantum world
and a generalization of the Haemers bound

Zero-error Communication through Quantum Channels



Quantum Channel: $\Phi(\rho) = \sum_{k=1}^m E_k \rho E_k^\dagger \quad \forall \rho \in M_n$ satisfying $\sum_{k=1}^m E_k^\dagger E_k = I_n$

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Noncommutative (confusability) graph (Duan-Severini-Winter, 2013):

$$S_\Phi = \text{span}\{E_k^\dagger E_{k'} : k, k' = 1, \dots, m\} \subseteq M_n$$

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Independence number (Maximum # zero-error messages send through Φ):

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$$S_\Phi = \text{span}\{E_k^\dagger E_{k'} : k, k' = 1, \dots, m\} \subseteq M_n$$

Independence number (Maximum # zero-error messages send through Φ):

$$\alpha(S_\Phi) = \max\{\ell : \underbrace{\exists |\psi_1\rangle, \dots, |\psi_\ell\rangle}_{\text{"vertices"}}, \underbrace{\langle\psi_i|A|\psi_j\rangle = 0}_{\text{"nonadjacency"}} \quad \forall i \neq j \text{ & } A \in S_\Phi\}.$$

"edges"

Zero-error Communication through Quantum Channels



Quantum Channel: $\Phi(\rho) = \sum_{k=1}^m E_k \rho E_k^\dagger \quad \forall \rho \in M_n$ satisfying $\sum_{k=1}^m E_k^\dagger E_k = I_n$

Send **classical** zero-error messages via Φ : Encode $i \mapsto |\psi_i\rangle\langle\psi_i|$

$\Phi(|\psi_i\rangle\langle\psi_i|)$ and $\Phi(|\psi_j\rangle\langle\psi_j|)$ are **nonconfusable** $\Leftrightarrow \langle\psi_i|E_k^\dagger E_{k'}|\psi_j\rangle = 0 \quad \forall k, k' = 1, \dots, m$

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The **Shannon capacity** of S_Φ : $\Theta(S_\Phi) := \sup_k \sqrt[k]{\alpha(S_\Phi^{\otimes k})} = \lim_{k \rightarrow \infty} \sqrt[k]{\alpha(S_\Phi^{\otimes k})}$

Compute Noncommutative Shannon Capacity

Confusability graph $G = ([n], E) \Rightarrow$ Noncommutative graph

$$S_G = \text{span}\{|i\rangle\langle j| : i = j \in [n], \text{ or } \{i, j\} \in E\}.$$

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Decide whether $\alpha(S) \geq k$ is **QMA-hard**. (Beigi, Shor, 2008)

Upper bound noncommutative Shannon capacity:

- Start from a graph-theoretic submultiplicative upper bound $f(G)$ on $\alpha(G)$.
- “Extend” f to f_q acting on noncommutative graphs, s.t. $f_q(S_G) = f(G)$.
- Prove $f_q(S)$ upper bounds $\alpha(S)$, and is submultiplicative (w.r.t. \otimes).

Noncomm. Lovász Theta Function (DSW13)

The Lovász theta function:

$$\begin{aligned}\vartheta(G) &= \max\{||I + T|| : I + T \geq 0, T_{i,j} = 0 \text{ if } \{i,j\} \in E \text{ or } i = j\} \\ &= \max\{||I + T|| : I + T \geq 0, T \in S_G^\perp\}\end{aligned}$$

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Need a norm completion:

$$\tilde{\vartheta}_q(S) = \sup_m \vartheta_q(S \otimes M_m) = \sup_m \max\{||I + T|| : I + T \geq 0, T \in S^\perp \otimes M_m\}$$

- $\tilde{\vartheta}_q(S_G) = \vartheta(G)$ for any graph G .
- $\tilde{\vartheta}_q(S) \geq \alpha(S)$ for any noncommutative graph S .
- $\tilde{\vartheta}_q(S)$ can be computed by semidefinite programming.
- $\tilde{\vartheta}_q(S \otimes T) = \tilde{\vartheta}_q(S)\tilde{\vartheta}_q(T)$, $\tilde{\vartheta}_q(S \oplus T) = \tilde{\vartheta}_q(S) + \tilde{\vartheta}_q(T)$.
- $\tilde{\vartheta}_q(S) \geq \Theta(S)$ for any noncommutative graph S .

From the Orthogonal Rank to the Haemers Bound

$$\begin{aligned}\mathcal{H}(G) &= \min\{\text{rank } (I + B) : B_{i,j} = 0 \text{ if } \{i,j\} \notin E \text{ or } i = j\} \\ &= \min\{\text{rank } (I + B) : B \in S_G^\perp\}\end{aligned}$$

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Recall:

- $\bar{\xi}(G)$: Min. dim. of an O.R. \Leftrightarrow Haemers bound + positive semidefinite matrices.
- O. R. $\Psi : [n] \rightarrow \mathbb{C}^d \Leftrightarrow$ classical-quantum channel $\Psi(\rho) = \sum_{i=1}^n |\psi_i\rangle\langle i|\rho|i\rangle\langle\psi_i|$
- Note $S_\Psi = \text{span}\{|i\rangle\langle j| : \langle\psi_i|\psi_j\rangle \neq 0\} \subseteq S_G$.
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(Levene-Paulsen-Todorov, 2018):

$$\bar{\xi}_q(S) = \min\{\text{rank } (B) : m \in \mathbb{N}, B \in M_m(S), \sum_{i=1}^m B_{i,i} = I_n, B \geq 0\}$$

The Haemers Bound of Noncommutative Graphs

Haemers bound of noncommutative graph $S \subseteq M_n$ (Gribling, Li, 2020):

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- $\mathcal{H}_q(S)$ is computable:
 - $\mathcal{H}_q(S)$ can be achieved with $m \leq n^4$
 - Compute $\mathcal{H}_q(S)$ using Hilbert's Nullstellensatz.

Summary

- A definition of the Haemers bound of noncommutative graphs.
- Upper bound the Shannon (resp. zero-error) capacity of noncommutative graphs (resp. quantum channels).
- Operational meaning and computability of the Haemers bound.
- Can be better than any other previous bounds.
- Work in progress: Developing a **mathematical theory of noncommutative graphs** and its connections to other problems in zero-error quantum information theory.



Photo taken after Sander's Ph.D. defence.